

Please type a plus sign (+) inside this box

→

PTO/SB/05 (03-01)

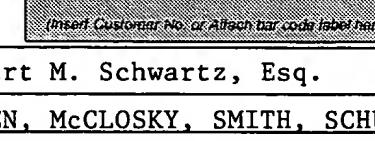
Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS		ADDRESS TO:				
See MPEP chapter 600 concerning utility patent application contents.						
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small>		Assistant Commissioner for Patents Box Patent Application Washington, DC 20231				
2. <input checked="" type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27.</small>		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)				
3. <input checked="" type="checkbox"/> Specification [Total Pages 22] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 		8. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small>				
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 4]		a. <input type="checkbox"/> Computer Readable Form (CRF)				
5. Oath <input checked="" type="checkbox"/> Declaration [Total Pages 3]		b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper 				
		c. <input type="checkbox"/> Statements verifying identity of above copies				
ACCOMPANYING APPLICATION PARTS						
9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))						
10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of <small>(when there is an assignee)</small> <input type="checkbox"/> Attorney						
11. <input type="checkbox"/> English Translation Document (if applicable)						
12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 <input type="checkbox"/> Citations						
13. <input type="checkbox"/> Preliminary Amendment						
14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small>						
15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small>						
16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.						
17. <input type="checkbox"/> Other:						
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:						
<input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: _____						
Prior application information: Examiner _____ Group Art Unit: _____						
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.						
19. CORRESPONDENCE ADDRESS						
<input type="checkbox"/> Customer Number or Bar Code Label <div style="border: 1px solid black; padding: 2px; display: inline-block; width: 200px; height: 20px; vertical-align: middle; margin-left: 10px;"></div> <small>(Insert Customer No. or Attach bar code label here)</small>		or <input checked="" type="checkbox"/> Correspondence address below				
Name		Robert M. Schwartz, Esq.				
Address		RUDEN, McCLOSKY, SMITH, SCHUSTER & RUSSELL, P.A. 200 EAST BROWARD BOULEVARD				
City		FORT LAUDERDALE	State	FLORIDA	Zip Code	33301
Country		U.S.A.	Telephone	954-527-6252	Fax	954- 333-4252
Name (Print/Type)		ROBERT M. SCHWARTZ		Registration No. (Attorney/Agent)		29,854
Signature						Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL

for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 589.00)

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Joe G. RICH, Sr.
Examiner Name	
Group Art Unit	
Attorney Docket No.	43062-0001

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **18-2262**
 Ruden, McClosky, Smith,
 Schuster & Russell, P.A.

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	710	201 355 Utility filing fee	\$355.
106	320	206 160 Design filing fee	
107	490	207 245 Plant filing fee	
108	710	208 355 Reissue filing fee	
114	150	214 75 Provisional filing fee	

SUBTOTAL (1) (\$ 355.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
46	-20** = 26	x 9	= 234
2	- 3** = 0	x 0	= 0
Multiple Dependent			0 = 0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent claims in excess of 3
104	270	204 135 Multiple dependent claim, if not paid
109	80	209 40 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 234.00)

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

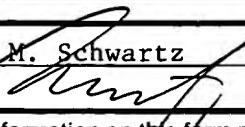
3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for ex parte reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	
116	390	216 195 Extension for reply within second month	
117	890	217 445 Extension for reply within third month	
118	1,390	218 695 Extension for reply within fourth month	
128	1,890	228 945 Extension for reply within fifth month	
119	310	219 155 Notice of Appeal	
120	310	220 155 Filing a brief in support of an appeal	
121	270	221 135 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unavoidable	
141	1,240	241 620 Petition to revive - unintentional	
142	1,240	242 620 Utility issue fee (or reissue)	
143	440	243 220 Design issue fee	
144	600	244 300 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Processing fee under 37 CFR 1.17(q)	
126	180	126 180 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	
146	710	246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279 355 Request for Continued Examination (RCE)	
169	900	169 900 Request for expedited examination of a design application	
Other fee (specify) _____			
*Reduced by Basic Filing Fee Paid			
SUBTOTAL (3) (\$)			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Name (Print/Type)	Robert M. Schwartz	Registration No. (Attorney/Agent)	29,854	Telephone	954-527-6252
Signature				Date	Sept. 10, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

September 10, 2001

COVER SHEET

Box NEW PATENT APPLICATION
Assistant Commissioner for
Patents
Washington, D.C. 20231

Re: New U.S. Patent Application
Title: ELECTROLYSIS FUEL CELL ENERGY PLANT
Inventor: Joe G. RICH, Sr.
Docket No.: 43062-0001

Sir:

Enclosed are the following documents for filing:

- [X] Utility Patent Application Transmittal - 1 page
- [X] Fee Transmittal - 1 page.
- [X] Specification - 21 pages (including claims - 9 pages) /
Abstract - 1 page
- [X] Drawings - 4 sheets (Fig. 1-5)
- [X] Declaration - 2 pages
- [X] Power of Attorney 1 page

New U.S. Patent Application
Title: ELECTROLYSIS FUEL CELL ENERGY PLANT
Inventor: Joe G. RICH, Sr.
Docket No.: 43062-0001

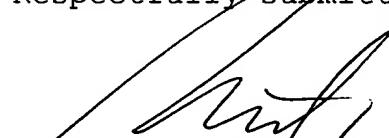
PAGE 2

[X] Check No. 39422 for \$ 589.00

[X] Return postcard indicating your receipt of the above-captioned documentation.

These documents enclosed and referenced herein are being submitted under the "Express Mail" filing provisions, 37 CFR 1.10, and a filing date corresponding to the date of deposit is respectfully requested.

Respectfully submitted,


Robert M. Schwartz
Reg. No. 29,854
RUDEN, McCLOSKEY, SMITH,
SCHUSTER & RUSSELL, P.A.
200 East Broward Boulevard
Fort Lauderdale, FL 33301
Tel.: (954) 527-6252
Fax: (954) 333-4252

September 10, 2001

/vvf
Enclosures

"Express Mail" mailing label number EL 577423199US

Date of Deposit September 10, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box NEW PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

VERNICE V. FREEBOURNE

(Typed or printed name of person mailing paper or fee)

Vernice Freebourne

(Signature of person mailing paper or fee)

FTL:811057:1

September 10, 2001

(Date of Signature)